

07/05/01



12646 U.S. PTO

07-06-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Title of Invention

Estrogenic Compounds as Antiangiogenic  
Agents

Named Inventor(s)

Robert J. D'Amato, Ravi K. Varma, Rudiger G.  
Haugwitz and Mark Cushman

Attorney Docket

05213-0910 (43170-219505)

Express Mail Label No.

EL910716395US

J1046 U.S. PTO

09/899702



07/05/01

**APPLICATION ELEMENTS**ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231**ACCOMPANYING APPLICATION PARTS**

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Applicant claims Small Entity status
3. ☒ Specification, Claims,  
and Abstract Total Pages 29
4. ☒ Drawings Total Sheets 4  
Total Pages 33

## 5. Oath or Declaration

- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 16  
completed)

The entire disclosure of the prior application, from which  
a copy of the oath or declaration is supplied under Box  
5b, is considered as being part of the disclosure of the  
accompanying application and is hereby incorporated  
by reference therein.

- (i) ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior  
application, see 37 CFR 1.63(d)(2)  
and 1.33(b).

6. ☐ Microfiche Computer Program (Appendix)

7. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of  
above copies
8. ☒ Assignment:
- a. ☐ Assignment Papers (cover sheet &  
document(s))
- b. ☒ Assignment is of record in parent  
application No. 09/154,322
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS) PTO-  
1449
- ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
15. ☒ Other: Ck. \$427.00; copy of 3 month extension  
of time from parent case 09/154,322

16. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/154,322

Recite complete dependency back to first parent application: This is a continuation of prior application number 09/154,322,  
filed September 16, 1998, which claims priority to U.S. Provisional application serial number 60/059,916, filed September 24,  
1997.

## 17. CORRESPONDENCE ADDRESS:

KILPATRICK STOCKTON LLP  
Attn: **John S. Pratt**  
Suite 2800  
1100 Peachtree Street  
Atlanta, Georgia 30309-4530

By:

*Suzanne Seavello Shope*  
Suzanne Seavello Shope Reg. No. 37,933  
Date: **July 5, 2001**  
Telephone: 404-815-6500  
Facsimile: 404-815-6555

**FEE TRANSMITTAL**Attorney Docket No. **05213-0910 (43170-219505)**

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Robert J. D'Amato, Ravi K. Varma, Rudiger G. Haugwitz, and Mark Cushman**  
Filing Date: **July 5, 2001**  
Title: **Estrogenic Compounds as Antiangiogenic Agents**

The filing fee is calculated as shown below:

**1. FILING FEE:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355	355	\$710	
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$355		\$

**2. CLAIMS:**

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	28 - 20 =	8	x 9 =	72	x 18 =	
INDEP. CLAIMS	2 - 3 =	0	x 40 =		x 80 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
SUBTOTAL (2)				\$72		\$

**3. ADDITIONAL FEES:**

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$427.00		\$

**TOTAL FILING FEES: \$427.00**A check is enclosed for the total amount: **\$427.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 11-0855.

KILPATRICK STOCKTON LLP  
Suite 2800  
1100 Peachtree Street  
Atlanta, Georgia 30309-4530  
Telephone: 404-815-6500

By: Suzanne Seavells Shope  
Suzanne Seavello Shope, Attorney for Applicant  
Reg. No. 37,933

Date: 7/5/01